

## 5

10

Variable	Mean	Standard deviation	Minimum	Maximum
Age	34.5	10.5	20	55
Gender	0.5	0.5	0	1
Marital status	0.5	0.5	0	1
Education	12.5	1.5	10	15
Income	15.5	5.5	10	25
Health status	1.5	0.5	1	2
Smoking status	0.5	0.5	0	1
Alcohol consumption	0.5	0.5	0	1
Exercise frequency	1.5	0.5	1	2
Stress level	2.5	0.5	1	3
Sleep quality	1.5	0.5	1	2
Work satisfaction	1.5	0.5	1	2
Life satisfaction	1.5	0.5	1	2
Overall health	1.5	0.5	1	2
Physical activity	1.5	0.5	1	2
Mental health	1.5	0.5	1	2
Social support	1.5	0.5	1	2
Work-life balance	1.5	0.5	1	2
Financial stability	1.5	0.5	1	2
Family support	1.5	0.5	1	2
Community involvement	1.5	0.5	1	2
Personal growth	1.5	0.5	1	2
Work environment	1.5	0.5	1	2
Healthcare access	1.5	0.5	1	2
Quality of life	1.5	0.5	1	2
Life expectancy	75.5	5.5	60	90
Healthcare costs	15.5	5.5	10	25
Work productivity	1.5	0.5	1	2
Job security	1.5	0.5	1	2
Work-life balance	1.5	0.5	1	2
Financial stability	1.5	0.5	1	2
Family support	1.5	0.5	1	2
Community involvement	1.5	0.5	1	2
Personal growth	1.5	0.5	1	2
Work environment	1.5	0.5	1	2
Healthcare access	1.5	0.5	1	2
Quality of life	1.5	0.5	1	2
Life expectancy	75.5	5.5	60	90
Healthcare costs	15.5	5.5	10	25
Work productivity	1.5	0.5	1	2
Job security	1.5	0.5	1	2
Work-life balance	1.5	0.5	1	2
Financial stability	1.5	0.5	1	2
Family support	1.5	0.5	1	2
Community involvement	1.5	0.5	1	2
Personal growth	1.5	0.5	1	2
Work environment	1.5	0.5	1	2
Healthcare access	1.5	0.5	1	2
Quality of life	1.5	0.5	1	2
Life expectancy	75.5	5.5	60	90
Healthcare costs	15.5	5.5	10	25
Work productivity	1.5	0.5	1	2
Job security	1.5	0.5	1	2
Work-life balance	1.5	0.5	1	2
Financial stability	1.5	0.5	1	2
Family support	1.5	0.5	1	2
Community involvement	1.5	0.5	1	2
Personal growth	1.5	0.5	1	2
Work environment	1.5	0.5	1	2
Healthcare access	1.5	0.5	1	2
Quality of life	1.5	0.5	1	2
Life expectancy	75.5	5.5	60	90
Healthcare costs	15.5	5.5	10	25
Work productivity	1.5	0.5	1	2
Job security	1.5	0.5	1	2
Work-life balance	1.5	0.5	1	2
Financial stability	1.5	0.5	1	2
Family support	1.5	0.5	1	2
Community involvement	1.5	0.5	1	2
Personal growth	1.5	0.5	1	2
Work environment	1.5	0.5	1	2
Healthcare access	1.5	0.5	1	2
Quality of life	1.5	0.5	1	2
Life expectancy	75.5	5.5	60	90
Healthcare costs	15.5	5.5	10	25
Work productivity	1.5	0.5	1	2
Job security	1.5	0.5	1	2
Work-life balance	1.5	0.5	1	2
Financial stability	1.5	0.5	1	2
Family support	1.5	0.5	1	2
Community involvement	1.5	0.5	1	2
Personal growth	1.5	0.5	1	2
Work environment	1.5	0.5	1	2
Healthcare access	1.5	0.5	1	2
Quality of life	1.5	0.5</		

15

20

25

30



into the clear coat curing oven, thereby fully curing the color coat. If this occurs, some automobile manufacturers elect to reapply the color coat over the fully cured color coat prior to application of the clear coat. In such situations, the fully cured color coat can have poor intercoat adhesion with the subsequently applied color coat, even though the compositions may be the same.

Moreover, as discussed previously, during the assembly process, the applied color-plus-clear coating can include surface defects in the coating surface which require repair. Some automobile manufacturers elect to remove the defect and recoat the repair area with the same color-plus-clear system. In such instances, the color coating composition must be applied directly to the surface of a fully cured clear coat, followed by application of the clear coating composition over the color coating composition. It is known, however, that some clear coats when cured have poor intercoat adhesion with the subsequently applied repair color coat. This is believed to result due to the difference in surface energy of the cured clear coat and the subsequently applied repair color coating composition.

In recent years the trend in the automotive industry has been to reduce atmospheric pollution caused by the volatile solvents which are emitted during the painting process. One approach to emissions control has been the use of waterborne coating compositions as the pigmented or color coat in the color-plus-clear coating system.

U.S. Patent Nos. 5,071,904 and 5,510,148 describe waterborne coating compositions useful for forming a base coat in color-plus-clear coating systems. The compositions comprise a polymeric film-forming resin comprising an aqueous dispersion of polymeric microparticles. The polymeric microparticles contain a substantially hydrophobic polymer which is essentially free of repeating acrylic or vinyl units in the backbone and is adapted to be chemically bound into the cured coating compositions. The remainder of the microparticle comprises an acrylic monomer or mixture of monomers. The hydrophobic polymer and the acrylic monomer(s) are

particularized by high stress techniques followed by polymerization of the monomers to produce the polymeric microparticles which are stably dispersed in aqueous media.

Some waterborne coating compositions, however, are not without attendant disadvantages. For example, some waterborne coatings can have a narrow application window because it can be difficult to obtain smooth cured coatings, free of popping (described below), over a wide range of relative humidities. For example, in some instances, where the coating composition is applied in an environment having high relative humidity, water cannot readily evaporate from the applied film during the flash or dehydration period prior to curing at elevated temperatures.

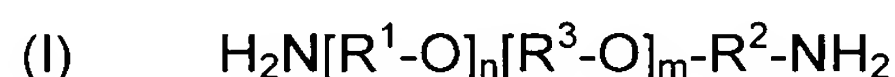
Also, it has been noted that some waterborne coating compositions can increase in viscosity upon storage. Such a viscosity increase can occur for a number of reasons, for example, hydrogen bonding between various components and/or instability of rheology control additives such as associative thickeners. Such poor storage stability can necessitate the addition of water to adjust the application viscosity of the composition. This additional water decreases application resin solids of the composition, and can result in surface defects commonly referred to as "popping" which occur as water (and solvent if present) volatilizes through the coating surface upon curing.

In view of the foregoing it is desirable to provide waterborne coating compositions which have improved storage stability, excellent resistance to mottling and popping, good appearance properties, and acceptable adhesion properties.

#### SUMMARY OF THE INVENTION

In one embodiment, the present invention is directed to a reaction product comprising polyether carbamate groups, the reaction product formed from (A) at least one polyoxyalkylene amine, and (B) at least one cyclic carbonate, wherein a ratio of equivalents of amine (A) to equivalents of cyclic

carbonate (B) ranges from 1:0.5 to 1:1.5. The at least one polyoxyalkylene amine selected from the group consisting of polyoxyalkylene monoamine, polyoxyalkylene diamine, polyoxyalkylene triamine, and mixtures thereof, wherein the polyoxyalkylene diamine comprises a compound having the following structure (I):



where  $R^1$ ,  $R^2$ , and  $R^3$  can be the same or different and each independently represent a  $C_2$  to  $C_{12}$  alkylene group, and  $(n + m)$  represents a value greater than 2, provided that when  $R^1$  and  $R^3$  are different  $(n + m)$  represents a value greater than or equal to 2.

In a further embodiment, the present invention is directed to a reaction product comprising polyether carbamate groups, the reaction product formed from (A) at least one polyoxyalkylene amine, and (B) at least one cyclic carbonate selected from propylene carbonate, butylene carbonate, glycerine carbonate, and mixtures thereof, wherein a ratio of equivalents of amine (A) to equivalents of cyclic carbonate (B) ranges from 1:0.5 to 1:1.5.

In another embodiment, the present invention provides a reaction product prepared by the following steps: (a) admixing at least one cyclic carbonate and at least one polyetheramine selected from the group consisting of polyoxyalkylene monoamine, polyoxyalkylene diamine, polyoxyalkylene triamine, and mixtures thereof, wherein the polyoxyalkylene diamine comprises a compound having the structure (I) where  $R^1$ ,  $R^2$ , and  $R^3$ , and  $(n + m)$  are as described above for that structure; optionally, in the presence of an alkoxide catalyst, to form a reaction mixture such that the ratio of equivalents of amine to equivalents of cyclic carbonate is 1:0.5 to 1:1.5; and (b) maintaining the reaction mixture of step (a) at a temperature ranging from ambient temperature to 150°C at atmospheric pressure for a period of time sufficient to drive the reaction to 80 percent of theoretical completion.

1003339-12001

In a further embodiment, the present invention is directed to an improved curable coating composition comprising (1) a reactive functional group-containing polymer, and (2) a curing agent having functional groups reactive with the functional groups of (1). The improvement comprises the inclusion in the coating composition of (3) the at least one reaction product of (A) at least one polyoxyalkylene amine selected from the group consisting of polyoxyalkylene monoamine, polyoxyalkylene diamine, polyoxyalkylene triamine, and mixtures thereof, wherein the polyoxyalkylene diamine comprises a compound having the structure (!) as described above, and (B) at least one cyclic carbonate, wherein a ratio of equivalents of amine (A) to equivalents of cyclic carbonate (B) ranges from 1:0.5 to 1:1.5.

The present invention also provides an improved multilayer composite coating comprising a first coating layer deposited over at least a portion of a substrate from a first curable coating composition, and a second coating layer deposited over at least a portion of the first coating layer, the second coating layer formed from a second curable coating composition. The first curable coating composition comprises (1) a reactive functional group-containing polymer, and (2) a curing agent having functional groups reactive with the functional groups of (1). The improvement comprises the inclusion in the first curable coating composition of the at least one reaction product (3) as described above with respect to the curable coating composition of the present invention.

Additionally, the present invention provides a substrate comprising a substrate and a cured coating layer over at least a portion of the substrate formed from any of the previously described curable coating compositions.

#### DETAILED DESCRIPTION OF THE INVENTION

Other than in the operating examples, or where otherwise indicated, all numbers expressing quantities of ingredients, reaction conditions and so forth used in the specification and claims are to be understood as being modified in all instances by the term "about." Accordingly, unless indicated to the



contrary, the numerical parameters set forth in the following specification and attached claims are approximations that may vary depending upon the desired properties sought to be obtained by the present invention. At the very least, and not as an attempt to limit the application of the doctrine of  
5 equivalents to the scope of the claims, each numerical parameter should at least be construed in light of the number of reported significant digits and by applying ordinary rounding techniques.

Notwithstanding that the numerical ranges and parameters setting forth the broad scope of the invention are approximations, the numerical values set  
10 forth in the specific examples are reported as precisely as possible. Any numerical values, however, inherently contain certain errors necessarily resulting from the standard deviation found in their respective testing measurements.

Also, it should be understood that any numerical range recited herein  
15 is intended to include all sub-ranges subsumed therein. For example, a range of 1" to 10" is intended to include all sub-ranges between and including the recited minimum value of 1 and the recited maximum value of 10, that is, having a minimum value equal to or greater than 1 and a maximum value of equal to or less than 10.

20 As mentioned above, in one embodiment, the present invention is directed to a reaction product comprising polyether carbamate groups. The reaction product can be formed from (A) at least one polyoxyalkylene amine, and (B) at least one cyclic carbonate.

The polyoxyalkylene amine (A) can include polyoxyalkylene  
25 monoamines, and polyoxyalkylene polyamines, for example, polyoxyalkylene diamine and polyoxyalkylene triamine. In one embodiment of the present invention, the polyoxyalkylene amine is selected from the group consisting of polyoxyalkylene monoamine, polyoxyalkylene diamine, polyoxyalkylene triamine, and mixtures thereof. As used herein, by "polyoxyalkylene  
30 polyamine" is meant a polyamine containing both oxyalkylene groups and at least two amine groups, typically primary amine groups, per molecule.

In one embodiment of the present invention, the polyoxyalkylene amine comprises a polyoxyalkylene diamine represented by the following structure (I):



wherein  $\text{R}^1$ ,  $\text{R}^2$ , and  $\text{R}^3$  can be the same or different and each independently represents a  $\text{C}_2$  to  $\text{C}_{12}$ , typically a  $\text{C}_2$  to  $\text{C}_4$ , alkylene groups and  $(n + m)$  represents a value greater than 2 and up to 200, provided that when  $\text{R}^1$  and  $\text{R}^3$  are different,  $(n + m)$  represents a value equal to or greater than 2 and up to 200. In one embodiment,  $(n+m)$  represents a value ranging from 3 to 50, usually from 5 to 35 and typically from 5 to 12.

By "alkylene" is meant acyclic or cyclic alkylene groups having a carbon chain length of from  $\text{C}_2$  to  $\text{C}_{25}$ , typically  $\text{C}_2$  to  $\text{C}_{12}$  which may be substituted or unsubstituted, and which may include substituents, for example, lower alkyl radicals having from 1 to 12 carbon atoms. In a particular embodiment of the present invention,  $\text{R}^1$ ,  $\text{R}^2$ , and  $\text{R}^3$  can be the same or different and each independently represents an alkylene group selected from ethylene, propylene, butylene, and mixtures thereof. For purposes of the present invention, "propylene" groups are intended to include both n-propylene and isopropylene groups; and, likewise, "butylene" groups are intended to include both n-butylene, isobutylene, and t-butylene groups.

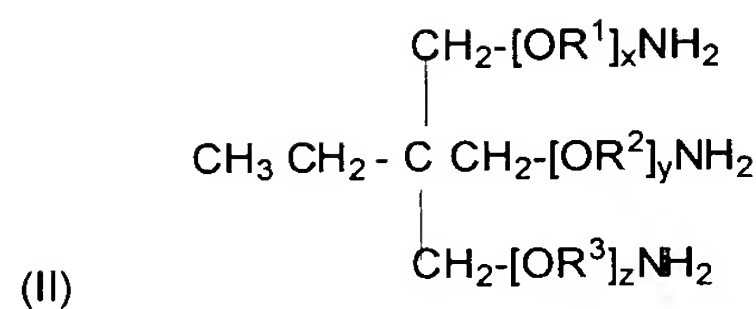
Moreover, the structure (I) above is diagrammatic only and is not intended to imply that the parenthetical portions thereof are necessarily blocks, although blocks may be used where desired. For example, the polymer represented by the structure (I) may be a random copolymer of  $-\text{R}^1\text{-O}-$  and  $-\text{R}^3\text{-O}-$ , a homopolymer of either  $-\text{R}^1\text{-O}-$  or  $-\text{R}^3\text{-O}-$ , or a block copolymer of  $-\text{R}^1\text{-O}-$  and  $-\text{R}^3\text{-O}-$ .

Specific non-limiting examples of polyoxyalkylene amines which are suitable for use in forming the polyether carbamate group-containing reaction products of the present invention include polyoxyalkylene polyamines, such

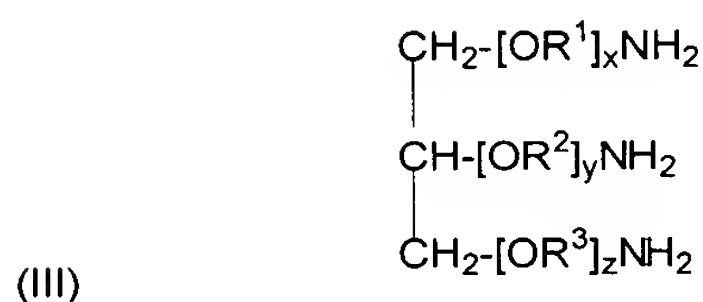




1003339-13201  
T00221-668201

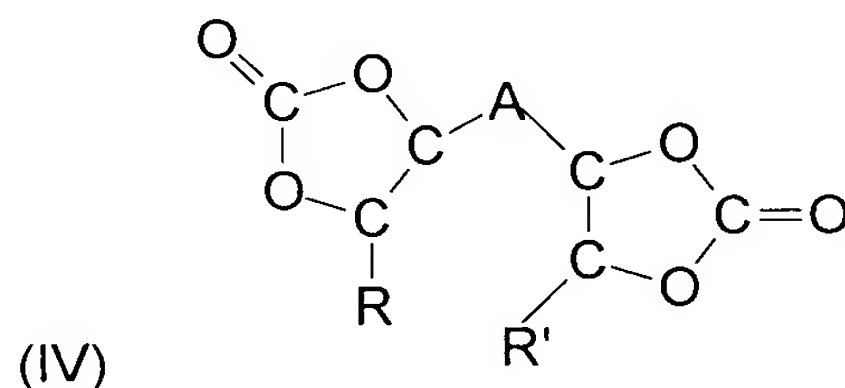


OR



wherein  $R^1$ ,  $R^2$ , and  $R^3$  independently can be the same or different and each independently represents a moiety selected from ethylene, propylene, and butylene; and  $x$ ,  $y$ , and  $z$  independently can be the same or different, and each independently represents a value greater than or equal to 1.

The cyclic carbonate (B) used to form the polyether carbamate group-containing reaction product of the present invention can be selected from any of a variety of cyclic carbonates well known in the art. Suitable non-limiting examples include cyclic carbonates selected from the group consisting of ethylene carbonate, propylene carbonate, butylene carbonate, glycerine carbonate, a di(cyclic carbonate) compound represented by the following structure (IV), and mixtures thereof:



wherein R and R' may independently represent H, CH<sub>3</sub>, or CH<sub>2</sub>OH, and A represents an organic divalent group. The organic divalent group can represent, for example, a cyclic or acyclic, substituted or unsubstituted alkylene group, oxyalkylene group, aralkylene or alkylene aryl group. By "oxyalkylene" is meant an alkylene group containing one or more other oxygen atoms. By "aralkylene" is meant a divalent aromatic group, which may be ring-substituted. By "alkylene aryl" is meant any acyclic alkylene group containing at least one aryl group, for example phenyl.

Typically, the cyclic carbonate (B) is selected from at least one of ethylene carbonate, propylene carbonate, and butylene carbonate.

The polyether carbamate group-containing reaction product of the present invention typically is prepared by admixing the polyoxyalkylene amine (A) and the cyclic carbonate (B) as described above, optionally, in the presence of an alkoxide catalyst, for example potassium tertiary butoxide to form a reaction mixture such that the ratio of equivalents of amine of (A) to equivalents of carbonate of (B) ranges from 1:0.5 to 1:1.5, usually from 1:0.8 to 1:1.1, and typically from 1:0.9 to 1:1.1; and heating the reaction mixture to a temperature ranging from ambient temperature to 150°C, can be from 50°C to 150°C, typically from 90°C to 140°C at atmospheric pressure for a period of time sufficient to drive the reaction to 80 percent, typically 90 percent, of theoretical completion. It should be understood that lower temperature can be used if the reaction is conducted at higher pressured. By "theoretical completion" is meant complete consumption of the reactant which is present in the least amount on an equivalence basis.

Also, it should be understood that the polyether carbamate group-containing reaction product can contain the reaction product of the polyoxyalkylene amine (A) and the cyclic carbonate (B), as well as any residual unreacted polyoxyalkylene amine (A), any residual unreacted cyclic carbonate (B), and any rearrangement products of the starting materials (A) and (B).

In any event, the reactants (A) and (B) are reacted in amounts and under conditions such that at least a portion of, and typically, substantially all, of the resulting reaction product is hydroxy-terminated.

As previously discussed, the present invention also is directed to an improved curable composition, preferably a curable coating composition, comprising (1) a reactive functional group-containing polymer, and (2) a curing agent having functional groups reactive with the functional groups of (1). The improvement comprises the inclusion in the coating composition of (3) at least one polyether carbamate group-containing reaction product as described in detail above.

The reaction product containing polyether carbamate groups (3) can be present in the curable coating composition of the present invention in an amount of at least 1 percent by weight, often at least 5 percent by weight and typically at least 10 percent by weight resin solids based on total weight of resin solids present in the composition. Also, the reaction products (3) can be present in the curable coating composition of the present invention in an amount less than or equal to 50 percent by weight, often 25 percent by weight, and typically 20 percent by weight resin solids, based on total weight of resin solids present in the curable composition. The amount of the reaction product (3) present in the curable coating composition of the present invention can range between any combination of these values inclusive of the recited values.

As used herein, the term "cure" as used in connection with a composition, e.g., "a curable composition," shall mean that at least a portion of the curable or crosslinkable components which form the composition are capable of forming an at least partially crosslinked network. In certain embodiments of the present invention, the crosslink density of the crosslinkable components, i.e., the degree of crosslinking, ranges from 5% to 100% of complete crosslinking. In other embodiments, the crosslink density ranges from 35% to 85% of full crosslinking. In other embodiments, the crosslink density ranges from 50% to 85% of full crosslinking. One skilled in

the art will understand that the presence and degree of crosslinking, i.e., the crosslink density, can be determined by a variety of methods, such as dynamic mechanical thermal analysis (DMTA) using a TA Instruments DMA 2980 DMTA analyzer conducted under nitrogen such as is described above.

5 This method determines the glass transition temperature and crosslink density of free films of coatings or polymers. These physical properties of a cured material are related to the structure of the crosslinked network.

Also, as used herein, the term "polymer" is intended to include oligomers as well as polymers, and homopolymers, that is polymers formed from one polymerizable species, as well as heteropolymers, that is polymers formed from at least two different polymerizable species.

The reactive functional group-containing polymer (1) can be any of a variety of polymers well known in the art. For example, the polymer (1) can be selected from at least one of polyether polymers, polyester polymers, acrylic polymers, silicon-based polymers, polyepoxide polymers, and polyurethane polymers. Mixtures of any of the foregoing polymers can be used.

In a particular embodiment of the present invention, the polymer (1) can comprise at least one reactive functional group selected from a hydroxyl group, a carboxyl group, an isocyanate group, a blocked isocyanate group, a primary amine group, a secondary amine group, an amide group, a carbamate group, a urea group, a urethane group, a vinyl group, an unsaturated ester group, a maleimide group, a fumarate group, an anhydride group, a hydroxy alkylamide group, and an epoxy group. Combinations of any of the foregoing reactive functional groups can comprise the polymer (1).

In another embodiment of the present invention, the film-forming polymer (1) comprises at least one reactive functional group selected from a hydroxyl group, a carbamate group, an epoxy group, an isocyanate group, and a carboxyl group. In another embodiment, the polymer (1) comprises at least one reactive functional group selected from a hydroxyl group, and a carboxyl group.

Suitable hydroxyl group-containing polymers can include acrylic polyols, polyester polyols, polyurethane polyols, polyether polyols, and mixtures thereof. In a particular embodiment of the present invention, the film-forming polymer is an acrylic polyol having a hydroxyl equivalent weight  
5 ranging from 50 to 4000 grams per solid equivalent, typically 50 to 500 grams per solid equivalent.

Suitable hydroxyl group and/or carboxyl group-containing acrylic polymers can be prepared from polymerizable ethylenically unsaturated monomers and are typically copolymers of (meth)acrylic acid and/or  
10 hydroxylalkyl esters of (meth)acrylic acid with one or more other polymerizable ethylenically unsaturated monomers such as alkyl esters of (meth)acrylic acid including methyl (meth)acrylate, ethyl (meth)acrylate, butyl (meth)acrylate and 2-ethyl hexylacrylate, and vinyl aromatic compounds such as styrene, alpha-methyl styrene, and vinyl toluene. As used herein,  
15 “(meth)acrylate” and like terms is intended to include both acrylates (derived from acrylic acid) and methacrylates (derived from methacrylic acid).

The acrylic polymer also can be prepared from ethylenically unsaturated, beta-hydroxy ester functional monomers. Such monomers can be derived from the reaction of an ethylenically unsaturated acid functional  
20 monomer, such as monocarboxylic acids, for example, acrylic acid, and an epoxy compound which does not participate in the free radical initiated polymerization with the unsaturated acid monomer. Examples of such epoxy compounds include glycidyl ethers and esters. Suitable glycidyl ethers include glycidyl ethers of alcohols and phenols such as butyl glycidyl ether, octyl glycidyl ether, phenyl glycidyl ether and the like. Suitable glycidyl esters  
25 include those which are commercially available from Shell Chemical Company under the tradename CARDURA E; and from Exxon Chemical Company under the tradename GLYDEXX-10. Alternatively, the beta-hydroxy ester functional monomers can be prepared from an ethylenically  
30 unsaturated, epoxy functional monomer, for example glycidyl (meth)acrylate





yielding the carbamate functional acrylic polymer and the original alcohol or glycol ether. Also, hydroxyl functional acrylic polymers can be reacted with isocyanic acid to provide pendent carbamate groups. Likewise, hydroxyl functional acrylic polymers can be reacted with urea to provide pendent carbamate groups.

The acrylic polymers can be prepared by solution polymerization techniques, which are well-known to those skilled in the art, in the presence of suitable catalysts such as organic peroxides or azo compounds, for example, benzoyl peroxide or N,N-azobis(isobutyronitrile). The polymerization can be carried out in an organic solution in which the monomers are soluble by techniques conventional in the art. Alternatively, these polymers can be prepared by aqueous emulsion or dispersion polymerization techniques which are well-known in the art. The ratio of reactants and reaction conditions are selected to result in an acrylic polymer with the desired pendent functionality.

Polyester polymers are also useful in the coating compositions of the invention as the film-forming polymer. Useful polyester polymers typically include the condensation products of polyhydric alcohols and polycarboxylic acids. Suitable polyhydric alcohols can include ethylene glycol, neopentyl glycol, trimethylol propane, and pentaerythritol. Suitable polycarboxylic acids can include adipic acid, 1,4-cyclohexyl dicarboxylic acid, and hexahydrophthalic acid. Besides the polycarboxylic acids mentioned above, functional equivalents of the acids such as anhydrides where they exist or lower alkyl esters of the acids such as the methyl esters can be used. Also, small amounts of monocarboxylic acids such as stearic acid can be used. The ratio of reactants and reaction conditions are selected to result in a polyester polymer with the desired pendent functionality, i.e., carboxyl or hydroxyl functionality.

For example, hydroxyl group-containing polyesters can be prepared by reacting an anhydride of a dicarboxylic acid such as hexahydrophthalic anhydride with a diol such as neopentyl glycol in a 1:2 molar ratio. Where it is desired to enhance air-drying, suitable drying oil fatty acids may be used and

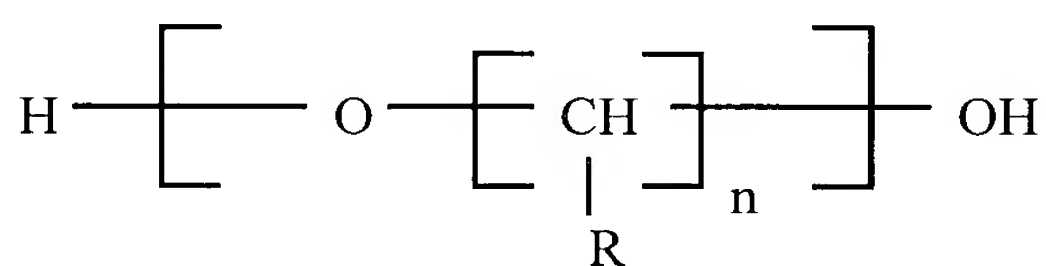
include those derived from linseed oil, soya bean oil, tall oil, dehydrated castor oil, or tung oil.

Carbamate functional polyesters can be prepared by first forming a hydroxyalkyl carbamate that can be reacted with the polyacids and polyols used in forming the polyester. Alternatively, terminal carbamate functional groups can be incorporated into the polyester by reacting isocyanic acid with a hydroxy functional polyester. Also, carbamate functionality can be incorporated into the polyester by reacting a hydroxyl polyester with a urea. Additionally, carbamate groups can be incorporated into the polyester by a transcarbamoylation reaction. Preparation of suitable carbamate functional group-containing polyesters are those described in U.S. Patent No. 5,593,733 at column 2, line 40 to column 4, line 9, incorporated herein by reference.

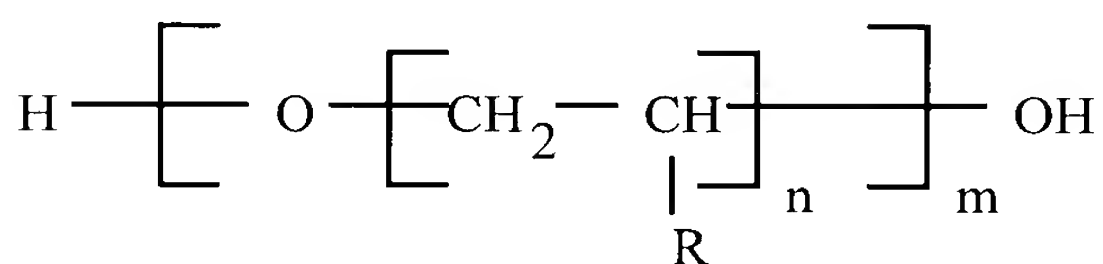
Polyurethane polymers containing terminal isocyanate or hydroxyl groups also can be used as the polymer (1) in the curable compositions of the present invention. The polyurethane polyols or NCO-terminated polyurethanes which can be used are those prepared by reacting polyols including polymeric polyols with polyisocyanates. Polyureas containing terminal isocyanate or primary and/or secondary amine groups which also can be used are those prepared by reacting polyamines including polymeric polyamines with polyisocyanates. The hydroxyl/isocyanate or amine/isocyanate equivalent ratio is adjusted and reaction conditions are selected to obtain the desired terminal groups. Examples of suitable polyisocyanates include those described in U.S. Patent No. 4,046,729 at column 5, line 26 to column 6, line 28, incorporated herein by reference. Examples of suitable polyols include those described in U.S. Patent No. 4,046,729 at column 7, line 52 to column 10, line 35, incorporated herein by reference. Examples of suitable polyamines include those described in U.S. Patent No. 4,046,729 at column 6, line 61 to column 7, line 32 and in U.S. Patent No. 3,799,854 at column 3, lines 13 to 50, both incorporated herein by reference.

Carbamate functional groups can be introduced into the polyurethane polymers by reacting a polyisocyanate with a polyester having hydroxyl functionality and containing pendent carbamate groups. Alternatively, the polyurethane can be prepared by reacting a polyisocyanate with a polyester polyol and a hydroxyalkyl carbamate or isocyanic acid as separate reactants. Examples of suitable polyisocyanates are aromatic isocyanates, such as 4,4'-diphenylmethane diisocyanate, 1,3-phenylene diisocyanate and toluene diisocyanate, and aliphatic polyisocyanates, such as 1,4-tetramethylene diisocyanate and 1,6-hexamethylene diisocyanate. Cycloaliphatic diisocyanates, such as 1,4-cyclohexyl diisocyanate and isophorone diisocyanate also can be employed.

Examples of suitable polyether polyols include polyalkylene ether polyols such as those having the following structural formulas:



or



wherein the substituent R is hydrogen or a lower alkyl group containing from 1 to 5 carbon atoms including mixed substituents, and n has a value typically ranging from 2 to 6 and m has a value ranging from 8 to 100 or higher.

Exemplary polyalkylene ether polyols include poly(oxytetramethylene) glycols, poly(oxytetraethylene) glycols, poly(oxy-1,2-propylene) glycols, and poly(oxy-1,2-butylene) glycols.

Also useful are polyether polyols formed from oxyalkylation of various polyols, for example, glycols such as ethylene glycol, 1,6-hexanediol,

Bisphenol A, and the like, or other higher polyols such as trimethylolpropane, pentaerythritol, and the like. Polyols of higher functionality which can be utilized as indicated can be made, for instance, by oxyalkylation of compounds such as sucrose or sorbitol. One commonly utilized oxyalkylation method is reaction of a polyol with an alkylene oxide, for example, propylene or ethylene oxide, in the presence of an acidic or basic catalyst. Specific examples of polyethers include those sold under the names TERATHANE® and TERACOL®, available from E. I. DuPont de Nemours and Company.

Hydroxyl functional group-containing polymers typically are employed. Polyepoxides such as those described below with reference to the curing agent (B), can also be used.

The polymer (1) having reactive functional groups can be present in the curable compositions in an amount of at least 2 percent by weight, usually at least 5 percent by weight, and typically at least 10 percent by weight resin solids based on weight of total resin solids in the coating composition. Also, the polymer (1) having reactive functional groups can be present in the thermosetting compositions of the invention in an amount less than 95 percent by weight, usually less than 90 percent by weight, and typically less than 85 percent by weight based on weight of total resin solids in the coating composition. The amount of the polymer (1) having reactive functional groups present in the compositions of the present invention can range between any combination of these values inclusive of the recited values.

As aforementioned, in addition to the functional group-containing film-forming polymer (1) and the polyether carbamate group-containing reaction product (3), the curable composition of the present invention further comprises at least one curing agent (2) having functional groups reactive with the functional groups of the polymer (1).

Dependent upon the reactive functional groups of the polymer (1), the curing agent (2) can be selected from an aminoplast resin, a polyisocyanate, a blocked isocyanate, a polyepoxide, a polyacid, an anhydride, an amine, a polyol, and mixtures of any of the foregoing. In one embodiment, the at least

one curing agent (2) is selected from an aminoplast resin and a polyisocyanate.

In another embodiment, the present invention is directed to any composition as previously described wherein the curing agent comprises an aminoplast resin. Aminoplast resins, which can comprise phenoplasts, as  
5 curing agents for hydroxyl, carboxylic acid, and carbamate functional group-containing materials are well known in the art. Suitable aminoplast resins, such as, for example, those discussed above, are known to those of ordinary skill in the art. Aminoplasts can be obtained from the condensation reaction  
10 of formaldehyde with an amine or amide. Nonlimiting examples of amines or amides include melamine, urea, or benzoguanamine. Condensates with other amines or amides can be used; for example, aldehyde condensates of glycoluril, which give a high melting crystalline product useful in powder coatings. While the aldehyde used is most often formaldehyde, other  
15 aldehydes such as acetaldehyde, crotonaldehyde, and benzaldehyde can be used.

The aminoplast resin contains imino and methylol groups and in certain instances at least a portion of the methylol groups are etherified with an alcohol to modify the cure response. Any monohydric alcohol can be  
20 employed for this purpose including methanol, ethanol, n-butyl alcohol, isobutanol, and hexanol.

Nonlimiting examples of aminoplasts include melamine-, urea-, or benzoguanamine-formaldehyde condensates, in certain instances monomeric and at least partially etherified with one or more alcohols containing from one  
25 to four carbon atoms. Nonlimiting examples of suitable aminoplast resins are commercially available, for example, from Cytec Industries, Inc. under the trademark CYMEL® and from Solutia, Inc. under the trademark RESIMENE®.

In another embodiment of the present invention, the curing agent  
30 comprises an aminoplast resin which, when added to the other components that form the thermosetting composition, is generally present in an amount





In one embodiment of the present invention, the curing agent comprises both an aminoplast resin and a polyisocyanate.

Anhydrides as curing agents for hydroxyl functional group-containing materials also are well known in the art and can be used in the present invention. Nonlimiting examples of anhydrides suitable for use as curing agents in the compositions of the invention include those having at least two carboxylic acid anhydride groups per molecule which are derived from a mixture of monomers comprising an ethylenically unsaturated carboxylic acid anhydride and at least one vinyl co-monomer, for example, styrene, alpha-methyl styrene, vinyl toluene, and the like. Nonlimiting examples of suitable ethylenically unsaturated carboxylic acid anhydrides include maleic anhydride, citraconic anhydride, and itaconic anhydride. Alternatively, the anhydride can be an anhydride adduct of a diene polymer such as maleinized polybutadiene or a maleinized copolymer of butadiene, for example, a butadiene/styrene copolymer. These and other suitable anhydride curing agents are described in U.S. Patent No. 4,798,746 at column 10, lines 16-50; and in U.S. Patent No. 4,732,790 at column 3, lines 41-57, both of which are incorporated herein by reference.

Polyepoxides as curing agents for carboxylic acid functional group-containing materials are well known in the art. Nonlimiting examples of polyepoxides suitable for use in the compositions of the present invention comprise polyglycidyl esters (such as acrylics from glycidyl methacrylate), polyglycidyl ethers of polyhydric phenols and of aliphatic alcohols, which can be prepared by etherification of the polyhydric phenol, or aliphatic alcohol with an epihalohydrin such as epichlorohydrin in the presence of alkali. These and other suitable polyepoxides are described in U.S. Patent No. 4,681,811 at column 5, lines 33 to 58, which is incorporated herein by reference.

Suitable curing agents for epoxy functional group-containing materials comprise polyacid curing agents, such as the acid group-containing acrylic polymers prepared from an ethylenically unsaturated monomer containing at least one carboxylic acid group and at least one ethylenically unsaturated

monomer which is free from carboxylic acid groups. Such acid functional acrylic polymers can have an acid number ranging from 30 to 150. Acid functional group-containing polyesters can be used as well. The above-described polyacid curing agents are described in further detail in U.S. Patent  
5 No. 4,681,811 at column 6, line 45 to column 9, line 54, which is incorporated herein by reference.

Also well known in the art as curing agents for isocyanate functional group-containing materials are polyols, that is, materials having two or more hydroxyl groups per molecule, different from component (1) when component  
10 (1) is a polyol. Nonlimiting examples of such materials suitable for use in the compositions of the invention include polyalkylene ether polyols, including thioethers; polyester polyols, including polyhydroxy polyesteramides; and hydroxyl-containing polycaprolactones and hydroxy-containing acrylic copolymers. Also useful are polyether polyols formed from the oxyalkylation  
15 of various polyols, for example, glycols such as ethylene glycol, 1,6-hexanediol, Bisphenol A and the like, or higher polyols such as trimethylolpropane, pentaerythritol, and the like. Polyester polyols also can be used. These and other suitable polyol curing agents are described in U.S. Patent No. 4,046,729 at column 7, line 52 to column 8, line 9; column 8, line  
20 29 to column 9, line 66; and U.S. Patent No. 3,919,315 at column 2, line 64 to column 3, line 33, both of which are incorporated herein by reference.

Polyamines also can be used as curing agents for isocyanate functional group-containing materials. Nonlimiting examples of suitable polyamine curing agents include primary or secondary diamines or  
25 polyamines in which the radicals attached to the nitrogen atoms can be saturated or unsaturated, aliphatic, alicyclic, aromatic, aromatic-substituted-aliphatic, aliphatic-substituted-aromatic, and heterocyclic. Nonlimiting examples of suitable aliphatic and alicyclic diamines include 1,2-ethylene diamine, 1,2-porphylene diamine, 1,8-octane diamine, isophorone diamine, propane-2,2-cyclohexyl amine, and the like. Nonlimiting examples of suitable  
30 aromatic diamines include phenylene diamines and the toluene diamines, for

example, o-phenylene diamine and p-tolylene diamine. These and other suitable polyamines described in detail in U.S. Patent No. 4,046,729 at column 6, line 61 to column 7, line 26, which is incorporated herein by reference.

5           When desired, appropriate mixtures of curing agents may be used. It should be mentioned that the compositions can be formulated as a one-component composition where a curing agent such as an aminoplast resin and/or a blocked isocyanate compound such as those described above is admixed with other composition components. The one-component  
10       composition can be storage stable as formulated. Alternatively, compositions can be formulated as a two-component composition where a polyisocyanate curing agent such as those described above can be added to a pre-formed admixture of the other composition components just prior to application. The pre-formed admixture can comprise curing agents such as aminoplast resins  
15       and/or blocked isocyanate compounds such as those described above.

          The curable coating compositions of the present invention can be water-based compositions, solvent-based compositions, or compositions in solid particulate form, e.g., a powder coating composition. Typically, the curable coating composition is a water-based composition.

20           In one particular embodiment of the present invention, the reactive functional group-containing polymer (1) is dispersed in an aqueous medium and comprises an aqueous dispersion of polymeric microparticles. The microparticles can be crosslinked. A wide range of crosslinked polymeric microparticle dispersions are suitable for use herein including those described  
25       in U.S. Patent No. 4,403,003 and references cited therein. In a particular embodiment, the microparticles contain greater than 30 percent by weight based on the microparticles of a substantially hydrophobic polymer having a molecular weight of greater than 300. Usually, the microparticles contain greater than 40 percent by weight of the substantially hydrophobic polymer,  
30       more preferably greater than 50 percent.

By "substantially hydrophobic" is meant that upon mixing a sample of polymer with an organic component and water, a majority of the polymer is in the organic phase and a separate aqueous phase is observed. Examples of suitable substantially hydrophobic polymers include polyester, polyurethane, polyether and alkyd polymers such as those discussed in detail above (provided, of course, that such polymers are substantially hydrophobic), which are substantially free of repeating acrylic or vinyl units in the backbone.

Also, it should be understood that the substantially hydrophobic polymer is adapted to be chemically bound into the cured coating composition. That is, the polymer is reactive in the sense that it contains functional groups such as hydroxyl groups which are capable of co-reacting, for example, with a crosslinking agent, such as a melamine formaldehyde resin, which may be present in the coating composition or, alternatively, with other film forming resins which also may be utilized. The hydrophobic polymer can have a molecular weight greater than 300, often greater than 500, and typically greater than 800. Usually, the number average molecular weight ranges from 300 to 10,000, more often from 300 to 5,000.

As was mentioned above, the polyester, polyurethane, alkyd and polyether polymers described above are examples of suitable substantially hydrophobic polymers. The polyester resins contain essentially no oil or fatty acid modification. That is, while alkyd resins are in the broadest sense polyester type resins, they are oil-modified and thus not generally referred to as polyester resins. The polyesters are of two kinds. One type are the unsaturated polyesters derived from unsaturated polyfunctional acids and polyhydric alcohols. Maleic acid and fumaric acid are the usual unsaturated acid components although methacrylic acid unsaturated alcohols such as trimethylolpropane mono- or diallyl esters can also be used. Commonly used polyhydric alcohols are 1,4-butanediol, 1,6-hexanediol, neopentyl glycol, ethylene glycol, propylene glycol, diethylene glycol, dipropylene glycol, butylene glycol, glycerol, trimethylolpropane, pentaerythritol and sorbitol. Often times a saturated acid will be included in the reaction to provide

desirable properties. Examples of saturated acids include phthalic acid, isophthalic acid, adipic acid, azelaic acid, sebacic acid, and the anhydrides thereof. The saturated polyesters are derived from saturated or aromatic polyfunctional acids, preferably dicarboxylic acids, and mixtures of polyhydric  
5 alcohols having an average hydroxyl functionality of at least 2. Other components of polyesters can include hydroxy acid and lactones such as ricinoleic acids, 12-hydroxystearic acid, caprolactone, butyrolactone and dimethylolpropionic acid.

The alkyds are polyesters of polyhydroxyl alcohols and polycarboxylic  
10 acids chemically combined with various drying, semi-drying and non-drying oils in different proportions. Thus, for example, the alkyd resins are made from polycarboxylic acids such as phthalic acid, maleic acid, fumaric acid, isophthalic acid, succinic acid, adipic acid, azelaic acid, sebacic acid as well as from anhydrides of such acids, where they exist. The polyhydric alcohols  
15 which can be reacted with the polycarboxylic acid include 1,4-butanediol, 1,6-hexanediol, neopentyl glycol, ethylene glycol, diethylene glycol and 2,3-butylene glycol, glycerol, trimethylolpropane, trimethylolpropane, pentaerythritol, sorbitol and mannitol.

The alkyd resins are produced by reacting the polycarboxylic acid and  
20 the polyhydric alcohols together with a drying, semi-drying or non-drying oil in proportions depending upon the properties desired. The oils are coupled into the resin molecule by esterification during the manufacturing and become an integral part of the polymer. The oil is fully saturated or predominately unsaturated. When cast into films, the fully saturated oils tend to crosslink and  
25 dry rapidly with oxidation to give more tough and solvent resistant films. Suitable oils include coconut oil, fish oil, linseed oil, tung oil, castor oil, cottonseed oil, safflower oil, soybean oil, and tall oil. Various proportions of the polycarboxylic acid, polyhydric alcohol and oil are used to obtain alkyd resins of various properties as is well known in the art.

30 Examples of polyether polyols are polyalkylene ether polyols such as those described above. With polyether polyols, it is preferred that the carbon



to oxygen weight ratio be high for better hydrophobic properties. This it is preferred that the carbon to oxygen ratio be greater than 3/1 and more preferably greater than 4/1.

5 The polyurethane polymers can be any of those described above provided they are sufficiently substantially hydrophobic. Examples of suitable polyurethane polymers also are described in detail in U.S. Patent No. 5,071,904 at column 4, lines 30 to 62 incorporated by reference herein.

10 The balance of the microparticle typically comprises an ethylenically unsaturated monomer or a mixture of ethylenically unsaturated monomers. These monomers hereinafter are referred to as "polymerizable species". Examples of suitable materials include acrylic monomers, for example alkyl esters of (meth)acrylic acid, as well as vinyl aromatic monomers, for example styrene. Examples of suitable ethylenically unsaturated monomers for this purpose can be found in U.S. Patent No. 5,071,904 at column 4, line 63 to  
15 column 5, line 11 incorporated by reference herein. The hydrophobic polymer is substantially insoluble in the aqueous medium and is also capable of being dissolved in the monomer or monomer mixture which is utilized to prepare the polymer which comprises the balance of the microparticle.

20 The dispersion of polymeric microparticles in an aqueous medium is typically prepared by a high stress technique which is described more fully below. First, the acrylic monomer or mixture of such monomers utilized to prepare the polymer which comprises the balance of the microparticle is thoroughly mixed with the aqueous medium and the substantially hydrophobic polymer. For the present application, the ethylenically unsaturated monomer  
25 or mixture of such monomers together with the substantially hydrophobic polymer is referred to as the "organic component". The organic components generally also comprise other organic species and usually is substantially free of organic solvent. That is, no more than 20 percent of organic solvent is present. The mixture is then subjected to stress in order to particulate it into  
30 microparticles which are uniformly of a fine particle size. The mixture is submitted to stress sufficient to result in a dispersion such that after

polymerization less than 20 percent of the polymer microparticles have a mean diameter greater than 5 microns.

The aqueous medium provides the continuous phase of dispersion in which the microparticles are suspended. The aqueous medium is generally exclusively water. However, for some polymer systems, it may be desirable to also include a minor amount of inert organic solvent which can assist in lowering the viscosity of the polymer to be dispersed. For example, if the organic phase has a Brookfield viscosity greater than 1000 centipoise at 25°C or a Gardner-Holdt bubble viscosity of "W", the use of some solvent may be desirable. For some applications of the aqueous microparticle dispersion, for example, in its present use as a resinous binder for coating compositions, it may be desirable to include a coalescing solvent in the coating composition. One can conveniently include this coalescing solvent during the synthesis of the polymer or in the latex as part of the organic component. Examples of suitable water insoluble solvents which can be incorporated in the organic component are benzyl alcohol, xylene, methyl isobutyl ketone, mineral spirits, butanol, butyl acetate, tributyl phosphate and dibutyl phthalate.

As was mentioned above, the mixture typically is subjected to the appropriate stress by use of a MICROFLUIDIZER® emulsifier which is available from Microfluidics Corporation in Newton, Mass. The MICROFLUIDIZER high pressure impingement emulsifier is described in detail in U.S. Patent No. 4,533,254. The device consists of a high pressure (up to 20,000 psi) pump and an interaction chamber where the emulsification takes place. The pump forces the mixture of reactants in aqueous medium into the chamber where it is split into at least two streams which pass at very high velocity through at least two slits and collide resulting in the particulation of the mixture into small particles. Generally, the reaction mixture passed through the emulsifier once at a pressure between 5,000 and 15,000 psi. Multiple passes can result in smaller average particle size and a narrower range for the particle size distribution. When using the aforesaid MICROFLUIDIZER emulsifier, stress is applied by liquid-liquid impingement

as has been described. However, it should be understood that if desired, other modes of applying stress to the pre-emulsification mixture can be utilized so long as sufficient stress is applied to achieve the requisite particle size distribution, that is, such that after polymerization less than 20 percent of the polymer microparticles have a mean diameter greater than 5 microns. For example, one alternative manner of applying stress would be the use of ultrasonic energy.

Stress is described as force per unit area. Although the precise mechanism by which the MICROFLUIDIZER emulsifier stresses the pre-emulsification mixture to particulate it is not thoroughly understood. It is theorized that stress is exerted in more than one manner. It is believed that one manner in which stress is exerted is by shear. Shear means that the force is such that one layer or plane moves parallel to an adjacent, parallel plane. Stress can also be exerted from all sides as a bulk, compression stress. In this instance stress could be exerted without any shear. A further manner of producing intense stress is by cavitation. Cavitation occurs when the pressure within a liquid is reduced enough to cause vaporization. The formation and collapse of the vapor bubbles occurs violently over a short time period and produces intense stress. Although not intending to be bound by theory, it is believed that both shear and cavitation contribute to producing the stress which particulates the preemulsification mixture.

Once the mixture has been particulated into microparticles, the polymerizable species within each particle are polymerized under conditions sufficient to produce polymer microparticles which are stably dispersed in the aqueous medium. It should be understood that one of the requisite conditions sufficient to achieve the stably dispersed microparticles is the presence in the reaction mixture of a surfactant which is also termed a dispersant. The surfactant is preferably present when the organic component referred to above is mixed into the aqueous medium, prior to particularion. Alternatively, the surfactant can be introduced into the medium at a point just after the particularion within the MICROFLUIDIZER emulsifier. The surfactant,

however, can be an important part of the particle forming process and can be necessary to achieve the requisite dispersion stability. The surfactant can be a material that serves to prevent the emulsified particles from agglomerating to form larger particles.

5           The same surfactants or dispersants which can be utilized during conventional emulsion polymerization are also suitable for this high stress technique. Examples of suitable surfactants include the dimethylethanolamine salt of dodecylbenzenesulfonic acid, sodium dioctylsulfosuccinate, ethoxylated nonylphenol and sodium dodecyl benzene sulfonate. Other  
10       materials well known to those skilled in the art are also suitable herein. Generally, both ionic and nonionic surfactants are used together and the amount of surfactant ranges from about 1 percent to about 10 percent, preferably from about 2 percent to about 4 percent, the percentage based on the total solids. One particularly preferred surfactant for the preparation of  
15       aminoplast curable dispersions is the dimethylethanolamine salt of dodecylbenzenesulfonic acid.

          In order to conduct the free radical polymerization of the polymerizable species, a free radical initiator typically is also required. Both water soluble and oil soluble initiators can be used. Since the addition of certain initiators,  
20       such as redox initiators, can result in a strong exothermic reaction, it is generally desirable to add the initiator to the other ingredients immediately before the reaction is to be conducted. Examples of water soluble initiators include ammonium peroxydisulfate, potassium peroxydisulfate and hydrogen peroxide. Examples of oil soluble initiators include t-butyl perbenzoate and  
25       2,2'-azobis(isobutyronitrile). Preferably redox initiators such as ammonium peroxydisulfate/sodium metabisulfite or t-butylhydroperoxide/isoascorbic acid are utilized herein.

          It should be understood that in some instances it may be desirable for some of the reactant species to be added after particulation of the remaining  
30       reactants and the aqueous medium (for example, water soluble acrylic monomers such as hydroxypropyl methacrylate). The particulated mixture is

then subjected to conditions sufficient to induce polymerization of the polymerizable species, within the microparticles. The particular conditions will vary depending upon the actual materials being polymerized. The length of time required to complete polymerization typically varies from about 10 minutes to about 6 hours. The progress of the polymerization reaction can be followed by techniques conventionally known to those skilled in the art of polymer chemistry. For example, heat generation, monomer concentration and percent of total solids are all methods of monitoring the progress of the polymerization.

The aqueous microparticle dispersions can be prepared by a batch process or a continuous process. In one batch process the unreacted microdispersion is fed over a period of about 1 to 4 hours into a heated reactor initially charged with water. The initiator can be fed in simultaneously, it can be part of the microdispersion or it can be charged to the reactor before feeding in the microdispersion. The optimum temperature depends upon the specific initiator being used. The length of time typically ranges from about 2 hours to about 6 hours.

In an alternative batch process, a reactor vessel is charged with the entire amount of microdispersion to be polymerized. Polymerization commences when an appropriate initiator such as a redox initiator is added. An appropriate initial temperature is chosen such that the heat of polymerization does not increase the batch temperature beyond the boiling point of the ingredients. Thus for large scale production, it is preferred that the microdispersion have sufficient heat capacity to absorb the total amount of heat being generated.

In a continuous process the pre-emulsion or mixture of raw materials is passed through the homogenizer to make a microdispersion which is immediately passed through a heated tube, e.g., stainless steel, or a heat exchanger in which polymerization takes place. The initiator is added to the microdispersion just before it enters the tubing.

It is preferred to use redox type initiators in the continuous process since other initiators can produce gases such as nitrogen or carbon dioxide which can cause the latex to spurt out of the reaction tubing prematurely. The temperature of reaction can range from about 25°C to about 80°C, preferably about 35°C to about 45°C. The residence time typically ranges from about 5 minutes to about 30 minutes.

The tubing in which the reaction occurs is not required to heat the microdispersion but rather to remove the heat being generated. Once the initiator has been added, the reaction begins spontaneously after a short induction period and the reaction exotherm resulting from the polymerization will rapidly raise the temperature.

If there is still free or unreacted monomer remaining after all of the initiator is consumed, an additional amount of initiator can be added to scavenge the remaining monomer.

Once the polymerization is complete, the resultant product is a stable dispersion of polymer microparticles in an aqueous medium, wherein both the polymer formed from the polymerizable species and the substantially hydrophobic polymer are contained within each microparticle. The aqueous medium, therefore, is substantially free of water soluble polymer. The resultant polymer microparticles are of course insoluble in the aqueous medium. In saying that the aqueous medium is substantially free of water soluble polymer, it is intended that the term "substantially free" means that the aqueous medium contains no more than 30 percent, often no more than 15 percent and typically no more than 10 percent by weight of dissolved polymer.

By "stably dispersed" is meant that the polymer microparticles do not hard settle upon standing and do not coagulate or flocculate upon standing. Typically, when diluted to 50 percent total solids the microparticle dispersions do not settle even when aged for one month at room temperature.

As was stated above, a very important aspect of the polymer microparticle dispersions is that the particle size is uniformly small, i.e., after polymerization less than 20 percent of the polymer microparticles have a



mean diameter which is greater than 5 microns, more preferably greater than 1 micron. Generally, the microparticles have a mean diameter from about 0.01 microns to about 10 microns. Preferably the mean diameter of the particles after polymerization ranges from about 0.05 microns to about 0.5 microns. The particle size can be measured with a particle size analyzer such as the Coulter N4 instrument commercially available from Coulter. The instrument comes with detailed instructions for making the particle size measurement. However, briefly, a sample of the aqueous dispersion is diluted with water until the sample concentration falls within specified limits required by the instrument. The measurement time is 10 minutes.

The microparticle dispersions are high solids materials of low viscosity. Dispersions can be prepared directly with a total solids content of from about 45 percent to about 60 percent. They can also be prepared at a lower solids level of about 30 to about 40 percent total solids and concentrated to a higher level of solids of about 55 to about 65 percent by stripping. The molecular weight of the polymer and viscosity of the aqueous dispersions are independent of each other. The weight average molecular weight can range from few hundred to greater than 100,000. The Brookfield viscosity can also vary widely from about 0.01 poise to about 100 poise, depending on the solids and composition, preferably from about 0.2 to about 5 poise when measured at 25°C using an appropriate spindle at 50 RPM.

The microparticle dispersion can be either crosslinked or uncrosslinked. When uncrosslinked the polymer within the microparticle can be either linear or branched.

The curable coating compositions of the present invention can contain, in addition to the components described above, a variety of other optional materials. As was mentioned above, if desired, other resinous materials can be utilized in conjunction with the dispersion of polymeric microparticles so long as the resultant coating composition is not detrimentally affected in terms of physical performance and properties. In addition, material such as rheology

control agents, ultraviolet light stabilizers, catalysts, fillers and the like can be present.

In one embodiment, the present invention is directed to a curable coating composition such as any of the previously described curable coating compositions, wherein the reaction product (3) is present in an amount sufficient to provide viscosity stability of the composition such that the viscosity of the composition, typically as determined in accordance with ASTM D1200-99, increases not more than 50%, usually not more than 35%, and typically not more than 20%, upon storage for 16 hours at a temperature ranging from 49°C to 71°C.

The curable composition of the present invention can be a substantially pigment-free composition, for example, a clear coat in a color-plus-clear system, or, alternatively, a pigment-containing composition, for example a monocoat or a basecoat in a color-plus-clear system. The pigments which can be utilized are of various types, depending upon whether a metallic pigment is desired. When a metallic coating is desired preferably aluminum flake is utilized. A variety of grades of aluminum flake are available such as Silberline Sparkle Silver 5000 AR, Toyo 8260 and Obron OBT 8167 STAPA M. Also chrome treated aluminum flake such as Hydrolux 400 and Ekkert 47700 can be used. Other metallic pigments include bronze flakes, coated mica, nickel flakes, tin flakes, silver flakes, copper flakes, or combination of these. Other examples of suitable pigments include mica, iron oxides, lead oxides, carbon black, titanium dioxide, talc, as well as a variety of color pigments. The specific pigment to binder ratio can vary widely so long as it provides the requisite hiding at the desired film thickness and application solids.

The curable coating compositions can be applied by conventional means including brushing, dipping, flow coating, spraying and the like, but they are most often applied by spraying. Conventionally known spray techniques and equipment for air spraying and electrostatic spraying and either manual or automatic methods can be used.

In one embodiment, the present invention is directed to an improved multilayer composite coating comprising a first coating layer deposited over at least a portion of a substrate from a first curable coating composition, and a second coating layer deposited over at least a portion of the first coating layer, the second coating layer formed from a second curable coating composition. The first coating layer, for example, a base coat in a color-plus-clear system, can be formed from any of the previously described curable coating compositions of the present invention.

During application of the first curable coating composition to the substrate, a first coating layer is formed over at least a portion of the substrate. Typically, the first coating layer dry film thickness will range from 0.01 to 5 mils (.25 to 127 micrometers), and typically 0.1 to 2 mils (2.5 to 51 micrometers) in thickness.

As mentioned above, after application of the first curable coating composition, a film is formed on at least a portion of the surface of the substrate. This is achieved by driving solvent, i.e., organic solvent and/or water, out of the film by heating or simply by an air-drying period. Preferably, the heating step will be for a period sufficient to ensure that the second curable coating composition can be applied to the first coating layer without dissolving the first coating layer i.e., "striking in".

Suitable drying conditions will depend on the particular first coating composition, on the ambient humidity with certain waterbased compositions, but in general, a drying time of from 1 to 5 minutes at a temperature of 100° to 250°F (39° to 121°C) will be adequate to ensure that mixing of the two coats is minimized. At the same time, the first coating layer is adequately wetted by the second coating composition so that satisfactory intercoat adhesion is obtained.

The multilayer composite coating is characterized in that the reaction product (3) is present in the first coating composition in an amount sufficient to provide at least 50%, usually at least 75%, and typically at least 80% adhesion between the coating and the substrate as determined by ASTM-

D3359-97. Also, it should be understood that as used herein, a coating layer or composition formed "over" at least a portion of a "substrate" refers to a coating layer or composition formed directly on at least a portion of the substrate surface, as well as to a coating layer or composition formed over any coating or adhesion promoter material which was previously applied to at least a portion of the substrate.

That is, the "substrate" upon which the first coating layer is formed can comprise a metallic or elastomeric substrate to which one or more coating layers have been previously applied. For example, the

"substrate" can comprise a metallic substrate and a weldable primer coating over at least a portion of the substrate surface, and the first polymeric layer can comprise an electrodepositable primer coating. Likewise, the "substrate" can comprise a metallic substrate having an electrodepositable primer formed over at least a portion thereof, and a primer-surfacer coating over at least a portion of the electrodepositable primer. The first coating layer of the multilayer composite coating of the present invention can comprise, for example, a pigmented base coat over at least a portion of this multi-layer "substrate", and the second coating layer can comprise a pigment-free top coat formed over at least a portion of the pigmented base coat.

Also, more than one first coating and multiple second coating compositions may be applied to develop the optimum appearance. Usually between coats, the previously applied coating is flashed, that is, exposed to ambient conditions for about 1 to 20 minutes. The second coating composition can be applied to the coated substrate by any of the conventional coating techniques described above in connection with the first coating, however spray applications tend to give the best appearance properties.

After application of the second coating composition to the first coating layer, the coated substrate is heated to cure the coating layers. The heating or curing operation is usually carried out at a temperature in the range of from 160° to 350°F (71° to 177°C), but if needed lower or higher temperatures may

be used depending upon whether it is sufficient to activate any necessary crosslinking mechanisms. It should be understood that for the purposes of the present invention the term "curing" also includes drying.

The dry film thickness of the second coating layer can range from 0.5  
5 to 5 mils (12.7 to 127.0 micrometers), and typically from 1.2 to 3 mils (30.5 to 76.2 micrometers).

As mentioned above, the present invention is also directed to a coated substrate comprising a substrate, such as any of the "substrates" described above, and a cured coating layer over at least a portion of the substrate. The  
10 cured coating layer can be formed from any of the curable coating compositions described above.

The invention will be further described by reference to the following examples. Unless otherwise indicated, all parts are by weight.

1003639-13001

## EXAMPLES

Example A below describes preparation of the polyether carbamate additive of the invention. Examples B through F describe the preparation of polymers used in the preparation of the tints and base coats. Examples 1 through 8 describe white base coat formulations. Example 1 is a comparative example. Examples 9 through 11 describe black base coat formulations. Example 9 is a comparative example. Examples 12 through 16 describe silver base coat formulations. Example 12 is a comparative example. Examples 13 and 14 describe silver base coats with addition of the polyether carbamate of Example A. Examples 15 and 16 are comparative examples, which are the same as Examples 13 and 14 except for the replacement of the polyether carbamate of Example A with a competitive urethane diol additive.

### Example A

#### Preparation of the Polyether Carbamate Additive

A hydroxy functional polyether carbamate was prepared from the following ingredients:

Ingredients	Amount (grams)	Equivalents
JEFFAMINE D 400 <sup>1</sup>	2000	10
Ethylenecarbonate	968	11

<sup>1</sup> Polypropyleneoxide amine from Huntsman Corporation, Texas.

PROCEDURE: Both the ingredients were added to the reaction vessel and heated to 130° C. The reaction mixture was held at this temperature till greater than 90% of the amine was reacted as measured by potentiometric titration of the mixture, in which the mixture was solubilized in acetic acid and titrated with 0.1 N (normal) perchloric acid in glacial acetic acid. The product was slightly yellowish, had a theoretical % weight solids of 100%, and weight averaged molecular weight of 800 as measured by gel permeation chromatography using polystyrene as internal standard.



### **Example B**

#### **Preparation of Acrylic Latex Used in Basecoats of Examples 1 - 16**

Preparation of Isostearic acid polyester. A polyester was prepared in a  
5 four-neck round bottom flask equipped with a thermometer, mechanical  
stirrer, condenser, dry nitrogen sparge, and a heating mantle. The following  
ingredients were used:

Component	Amount (grams)
Isostearic acid	1103.0
Pentaerythritol	800.0
Crotonic acid	470.0
Phthalic anhydride	688.0
Dibutyltin oxide	6.1
Triphenyl phosphite	6.1
Butyl acrylate	1170.0
NAUGARD® BHT <sup>1</sup>	4.0

10 <sup>1</sup> Butylated hydroxytoluene antioxidant available from Merisol Antioxidants,  
L.L.C.

The first six ingredients were stirred in the flask at a temperature of  
210°C until 245 ml of distillate was collected and the acid value dropped to  
4.6. The material was cooled to 77°C and the last two ingredients were stirred  
15 in. The final product was a viscous yellow liquid with a hydroxyl value of 54.0,  
a Gardner-Holdt viscosity of Z+, a weight average molecular weight of 45,600,  
and a non-volatile content of 70.2%.

Preparation of Latex: A pre-emulsion was prepared by stirring together the following ingredients:

Component	Amount (grams)
Isostearic acid polyester described above	286.0
butyl acrylate	664.0
Ethylene glycol dimethacrylate	30.0
acrylic acid	20.0
Dodecylbenzenesulfonic acid (70% in isopropanol)	46.4
Dimethylethanolamine	14.3
Water	1000.0

5           The pre-emulsion was passed once through a Microfluidizer®.RTM. M110T at 8000 psi and transferred to a four-neck round bottom flask equipped with an overhead stirrer, condenser, thermometer, and a nitrogen atmosphere. One hundred fifty grams (150.0 g) of water used to rinse the Microfluidizer.RTM. was added to the flask. The polymerization was initiated  
10 by adding 3.0 g of isoascorbic acid and 0.02 g of ferrous ammonium sulfate dissolved in 120.0 g water followed by a ten minute addition of 5.0 g of 70% t-butyl hydroperoxide dissolved in 115.0 g of water. The temperature of the reaction increased from 23° C. to 80° C. The temperature was reduced to <30° C. and 1.0 g of isoascorbic acid dissolved in 8.0 g water was added. Ten  
15 minutes later 17 g of 33.3% aqueous dimethylethanolamine was added followed by 2.0 g of PROXEL GXL (Biocide available from ICI Americas, Inc.) in 8.0 g of water.

          The final pH of the latex was 6.1, the nonvolatile content was 42.4%, the particle size was 105 nm, and the Brookfield viscosity was 14 cps (spindle  
20 #1, 50 rpm).

### **Example C**

#### **Preparation of Acrylic Grind Vehicle used in Examples G, H, and 12 - 16**

The acrylic grind vehicle was prepared from the following ingredients:

	Ingredients	Weight in grams
Feed A:	butyl ether of diethylene glycol	200.0
	Water	32.0
Feed B:	Styrene	255.0
	butyl acrylate	297.5
	butyl methacrylate	153.0
	hydroxyethyl methacrylate	72.3
	acrylic acid	72.3
Feed C:	tertiary butyl peracetate	14.5
	butyl ether of diethylene glycol	140.0
Feed D:	50% aqueous dimethylethanol amine	59.0
Feed E:	Water	1971.0

5

A suitable reactor was charged with feed A and heated to reflux. Feeds B and C were then added simultaneously over 3 hours, followed by a one hour hold. The reaction product was then cooled below 100° C and feed D was added. Finally, Feed E, preheated to 70°C, was added slowly. The final product had solid content of about 25%.

10

### **Example D**

#### **Preparation of Acid Functional Polyester used in Examples 12 - 16**

A polyacid half-ester of 1-(3-hydroxy-2,2-dimethylpropyl)-3-hydroxy-2,2-dimethylpropionate (ESTER DIOL 204) and methylhexahydrophthalic anhydride was prepared from the following mixture of ingredients:

15

Component	Parts by Weight (in grams)
ESTER DIOL 204 <sup>†</sup>	2550.0
Methylhexahydrophthalic anhydride	4116.0
Methyl isobutyl ketone	1466.5
Ethanol	666.6

<sup>†</sup> Available from Union Carbide.



### Example F

#### Preparation of Acrylic Grind Vehicle used in Example G

A polyurethane acrylate was prepared from the following ingredients:

Materials	Amount (grams)
Polyester polyol <sup>1</sup>	934.0
hydroxyethyl acrylate (HEA)	108.0
dibutyltin dilaurate	1.2
IONOL	1.2
Hexamethylenediisocyanate (HDI)	157.2
butyl acrylate (BA)	262.2

5 <sup>1</sup> Polyester polyol having a hydroxyl value of 120 prepared from trimethylolpropane (15.2 weight %), neopentyl glycol (35.3 weight %), and adipic acid (49.5 weight %).

10 The first four ingredients were stirred in a flask as the HDI was added over a one hour period at a temperature of 70°-80°C. 39g of the butyl acrylate was used to rinse the addition funnel containing the HDI and the temperature of the mixture was then held at 70°C. for an additional 2 hours as all the isocyanate reacted. The remainder of the butyl acrylate was added to produce an 80% solution with a Gardner-Holdt viscosity of X.

15 A pre-emulsion was made by stirring together the following:

Material	Amount (grams)
Polyurethane acrylate described above	1003.8
butyl acrylate	98.8
Methyl methacrylate	147.0
Acrylic acid	20.6
Dimethylethanolammonium dodecylbenzene sulfonate, 50% in water (DDBSA/DMEA)	13.52
Alipal Co 436 <sup>1</sup> ,	46.16
AEROSOL OT-75 <sup>2</sup>	17.92
Water	1246.0

<sup>1</sup> Anionic surfactant, available from Rhodia Chemicals.

<sup>2</sup> Sodium dioctylsulfosuccinate commercially available from Cytec Industries, Inc.

20

The pre-emulsion was passed once through a M110 Microfluidizer.RTM. emulsifier at 7000 is to produce a microdispersion. The microdispersion was stirred at 22° C. under nitrogen in a round bottom flask and the following solutions were added.

5

Material	Amount (grams)
deionized water	429.9
isoascorbic acid	2.0
1% aqueous ferrous ammonium sulfate	2.86
30% aqueous hydrogen peroxide	2.94
dimethylethanol amine	21.5

The temperature rose spontaneously to 56° C. within 15 minutes. The final product had the following characteristics: total solids was 42%; pH was 8.3; Brookfield viscosity (50 rpm, spindle #1) was 14 centistokes per second; and average particles size was 201 nm.

10

100333-13001



### Example G

#### Preparation of White Tint used in Examples 1 through 8

The following were added to a vessel in order.

Component	Amount (parts by weight)
Deionized Water	125.933
Add under agitation	
Acrylic Grind Vehicle of Example C	235.476
Acrylic Grind Vehicle of Example F	145.753
DOWANOL PM <sup>1</sup>	10.603
ARCOL PPG-425 <sup>2</sup>	20.506
DOWANOL PNB <sup>3</sup>	30.724
DOWANOL DPM <sup>4</sup>	33.024
Shell Odorless Mineral Spirits <sup>5</sup>	25.347
Dimethyl ethanolamine 50% in water	11.509
Mix 5 minutes at low rpm then add	
R-900-39 E.D. TiO <sub>2</sub>	1109.754

5 <sup>1</sup> Propylene glycol monomethyl ether available from Dow Chemical Company.

<sup>2</sup> Polypropylene glycol available from Dow Chemical Company.

<sup>3</sup> Propylene glycol n-butyl ether available from Dow Chemical Company.

<sup>4</sup> Dipropylene glycol monomethyl ether available from Dow Chemical Company.

10 <sup>5</sup> Available from Shell Oil and Chemical Company.

The mixture was mixed by cowles blade for 60 minutes at high speed.

Then the following ingredients were added under agitation:

Component	Amount (parts by weight)
Deionized Water	52.997
DOWANOL PM	20.194
ARCOL PPG-425	20.194
Dimethyl ethanolamine 50% in water	11.509

15

### **Example H**

#### **Preparation of Black Tint used in Examples 9 through 11**

The following components were premixed under agitation for five minutes:

Component	Amount (parts by weight)
DOWANOL PM	52.885
DOWANOL PNP <sup>1</sup>	52.885
Deionized Water	97.886
Acrylic Grind Vehicle of Example C.	587.250
50% Dimethyl ethanolamine solution in water	11.509

5     <sup>1</sup> Propylene glycol n-propyl ether available from Dow Chemical Company.

54.173 parts by weight of Monarch 1300 Black Pigment (available from Cabot Specialty Chemicals) was then added over a period of no more than 15 minutes and predispersed with a cowles blade. The predispersion was milled  
10     for 11 hours with 1.6 mm steel media at 80 gallons per hour in a dual chamber Premier mill. In the dual chamber Premier mill configuration, the material is recirculated through two Premier mill chambers which are connected in series.

The pH was adjusted after the first pass to 7.85 to 8.20 with a 50%  
15     solution of dimethyl ethanolamine in water.

**Comparative Example 1**  
**Preparation of White Aqueous Bas coat**

AQUEOUS PORTION	
Component	Parts by Weight (grams)
Deionized Water	35.0
Shell Odorless Mineral Spirits <sup>1</sup>	15.0
Acrylic Latex of Example B	158.0
White Tint of Example G	225.0
ORGANIC PORTION	
Component	Parts by Weight (grams)
DOWANOL PNB	37.0
CYMEL 303LF <sup>2</sup>	37.0
Propylene Glycol	13.0

<sup>1</sup> Available from Shell Oil and Chemical Company.

5    <sup>2</sup> Melamine crosslinker available from Cytec Industries, Inc.

10    The aqueous portion of the white basecoat was assembled by adding each component under agitation in the order shown and mixing the mixture for 10 minutes. In a separate vessel, the three components of the organic portion were combined and mixed until uniform. The organic portion was added to the aqueous portion slowly under agitation. The mixture of the aqueous and organic portions was agitated for 20 minutes.

15    The pH was adjusted to 8.5 to 8.7 with a 50% solution of dimethylethanol amine and deionized water. The paint was allowed to equilibrate for 24 hours and, if necessary, a final pH adjustment was made as described above. The paint was reduced with deionized water to a viscosity of 28 to 30 seconds using a #4 Ford Cup accordance with ASTM D 1200-99 before spraying.

### Example 2

#### Addition of 2% on resin solids of the additive of Example A to the white aqueous base coat of Comparative Example 1

To 1021 parts by weight of the white aqueous basecoat of Comparative Example 1, before letdown to spray viscosity, was added 5 parts by weight of the polyether carbamate additive of Example A. The additive of Example A was added under agitation and agitation was continued until the mixture was uniform. The resulting white aqueous basecoat contained 2% of the resin solids of Example A based on the weight of the resin solids of the mixture of Example A and Comparative Example 1.

### Example 3

#### Addition of 5% on resin solids of the additive of Example A to the white aqueous basecoat of Comparative Example 1

To 1004 parts by weight of the white aqueous basecoat of Comparative Example 1, before letdown to spray viscosity, was added 12 parts by weight of the polyether carbamate additive of Example A. The additive of Example A was added under agitation and agitation was continued until the mixture was uniform. The resulting white aqueous basecoat contained 5% of the resin solids of Example A based on the weight of the resin solids of the mixture of Example A and Comparative Example 1.

### Example 4

#### Addition of 10% on resin solids of the additive of Example A to the white aqueous basecoat of Comparative Example 1

To 520 parts by weight of the white aqueous basecoat of Comparative Example 1, before letdown to spray viscosity, was added 12.5 parts by weight of the polyether carbamate additive of Example A. The additive of Example A was added under agitation and agitation was continued until the mixture was uniform. The resulting white aqueous basecoat contained 10% of the resin

solids of Example A, based on the weight of the resin solids of the mixture of Example A and Comparative Example 1.

#### **Example 5**

5   **Addition of 15% on resin solids of the additive of Example A to the white aqueous basecoat of Comparative Example 1**

10   To 944 parts by weight of the white aqueous basecoat of Comparative Example 1, before letdown to spray viscosity, was added 37 parts by weight of the polyether carbamate additive of Example A. The additive of Example A was added under agitation and agitation was continued until the mixture was uniform. The resulting white aqueous basecoat contained 15% of the resin solids of Example A based on the weight of the resin solids of the mixture of Example A and Comparative Example 1.

15   **Example 6**

15   **Addition of 20% on resin solids of the additive of Example A to the white aqueous basecoat of Comparative Example 1**

20   To 496 parts by weight of the white aqueous basecoat of Comparative Example 1, before letdown to spray viscosity, was added 25 parts by weight of the polyether carbamate additive of Example A. The additive of Example A was added under agitation and agitation was continued until the mixture was uniform. The resulting white aqueous basecoat contained 20% of the resin solids of Example A based on the weight of the resin solids of the mixture of Example A and Comparative Example 1.

25   **Example 7**

25   **Addition of 30% on resin solids of the additive of Example A to the white aqueous basecoat of Comparative Example 1**

30   To 857 parts by weight of the white aqueous basecoat of Comparative Example 1, before letdown to spray viscosity, was added 74 parts by weight of the polyether carbamate additive of Example A. The additive of Example A

was added under agitation and agitation was continued until the mixture was uniform. The resulting white aqueous basecoat contained 30% of the resin solids of Example A based on the weight of the resin solids of the mixture of Example A and Comparative Example 1.

5

**Example 8**

**Addition of 54% on resin solids of the additive of Example A to the white aqueous basecoat of Comparative Example 1**

To 721 parts by weight of the white aqueous basecoat of Comparative Example 1, before letdown to spray viscosity, was added 131 parts by weight of the polyether carbamate additive of Example A. The additive of Example A was added under agitation and agitation was continued until the mixture was uniform. The resulting white aqueous basecoat contained 54% of the resin solids of Example A based on the weight of the resin solids of the mixture of Example A and Comparative Example 1.

10

15

1002339.12001



**Comparative Example 9**  
**Preparation of Black Aqueous Basecoat**

AQUEOUS PORTION	
COMPONENT	Parts by Weight (in grams)
Shell Odorless Mineral Spirits <sup>1</sup>	13.5
Acrylic Latex of Example B	132.0
Deionized Water	88.5
Urethane Resin <sup>2</sup>	13.0
Black Tint of Example H	57.0
50% Dimethylethanolamine in Water	1.0
ORGANIC PORTION	
COMPONENT	Parts by Weight (in grams)
N-butoxypropanol	40.0
N-methyl-2-pyrrolidone	6.0
CYMEL 327	42.0
TINUVIN 1130 <sup>3</sup>	3.4
Polyester Resin of Example E	6.3
Phosphatized Epoxy Resin <sup>4</sup>	1.0

<sup>1</sup> Available from Shell Oil and Chemical Company.

<sup>2</sup> Daotan VTW6462/36WA available from Solutia, Inc.

<sup>3</sup> Available from Ciba Specialty Chemicals, Inc.

<sup>4</sup> Phosphatized epoxy prepared from EPON 828 (a polyglycidyl ether of Bisphenol A, available from Shell Oil and Chemical Co.); reacted with phosphoric acid at a weight ratio of 83:17.

The aqueous portion of the black basecoat was assembled from the components listed above. Each component was added under agitation and the mixture was mixed for 10 minutes. In a separate vessel, the components of the organic portion listed above were combined in the order shown and agitated until well dispersed. The Organic Portion was then were added to the Aqueous Portion by slow addition under agitation. The mixture of the Aqueous Portion and the Organic Portion was agitated for 20 minutes. The pH was adjusted to 8.5 to 8.7 with a 50% solution of dimethylethanol amine and deionized water. The paint was allowed to equilibrate for 24 hours and, if necessary, a final pH adjustment was made as described above. The paint

was reduced with deionized water to a viscosity of 28 to 30 seconds using a #4 Ford Cup in accordance with ASTM D 1200-99 before spraying.

#### **Example 10**

5   **Addition of 10% on resin solids of the additive of Example A to the black aqueous basecoat of Comparative Example 9**

To 380 parts by weight of the black aqueous basecoat of Comparative Example 9, before letdown to spray viscosity, was added 12 parts by weight of the polyether carbamate additive of Example A. The additive of Example A  
10   was added under agitation and agitation was continued until the mixture was uniform. The resulting black aqueous basecoat contained 10% of the resin solids of Example A based on the weight of the resin solids of the mixture of Example A and Comparative Example 9.

15   **Example 11**

**Addition of 20% on resin solids of the additive of Example A to the black aqueous basecoat of Comparative Example 9**

To 356 parts by weight of the black aqueous basecoat of Comparative Example 9, before letdown to spray viscosity, was added 24 parts by weight  
20   of the polyether carbamate additive of Example A. The additive of Example A was added under agitation and agitation was continued until the mixture was uniform. The resulting black aqueous basecoat contained 20% of the resin solids of Example A based on the weight of the resin solids of the mixture of Example A and Comparative Example 9.

25

## Comparative Basecoat Examples in Silver Color

### Comparative Example 12

#### Preparation of Silver Basecoat with No Polyether Carbamate Additive

Aqueous Portion	
Component	Parts by Weight (in grams)
Shell Odorless Mineral Spirits <sup>1</sup>	6.0
Acrylic Latex of Example B	140.0
Deionized water	50.0
Acrylic Grind Vehicle of Example C	23.1
50% solution of Dimethylethanol amine in Water	2.2
Organic Portion	
Component	Parts by Weight (in grams)
N-Butoxypropanol	45.04
CYMEL 303LF	25.00
CYMEL 385 <sup>2</sup>	6.25
TINUVIN 1130	1.40
AQUA PASTE 3620-D23 <sup>3</sup>	23.50
AQUA PASTE 3700-A23 <sup>4</sup>	6.50
Phosphatized Epoxy <sup>5</sup>	0.39
Thickener Portion	
Component	Parts by Weight (in grams)
Deionized water	10.0
50% solution of Dimethylethanol amine in Water	2.5
Acid Functional Polyester of Example D	5.0

<sup>1</sup> Available from Shell Oil and Chemical Company.

<sup>2</sup> Melamine crosslinker available from Cytec Industries, Inc.

<sup>3</sup> Available from Silberline Mfg.

<sup>4</sup> Available from Silberline Mfg.

<sup>5</sup> Phosphatized epoxy prepared from EPON 828 (a polyglycidyl ether of Bisphenol A, available from Shell Oil and Chemical Co.); reacted with phosphoric acid at a weight ratio of 83:17.



### Example 13

#### Preparation of Silver Basecoat with 7% on resin solids of the Polyether Carbamate Additive of Example A

Aqueous Portion	
Component	Parts by Weight (in grams)
Shell Odorless Mineral Spirits <sup>1</sup>	6.00
Acrylic Latex of Example B	123.13
Deionized water	50.00
Acrylic Grind Vehicle of Example C	23.10
50% solution of Dimethylethanol amine in Water	2.20
Organic Portion	
Component	Parts by Weight (in grams)
N-Butoxypropanol	45.04
CYMEL 303LF	25.00
CYMEL 385	6.25
TINUVIN 1130	1.40
AQUA PASTE 3620-D23	23.50
AQUA PASTE 3700-A23	6.50
Phosphatized Epoxy <sup>2</sup>	0.39
Thickener Portion	
Component	Parts by Weight (in grams)
Deionized water	10.00
50% solution of Dimethylethanol amine in Water	2.50
Acid Functional Polyester of Example D	5.00
Polyoxyalkylene Carbamate Addition	
Component	Parts by Weight (in grams)
Additive of Example A	7.00

5 <sup>1</sup> Available from Shell Oil and Chemical Company.

<sup>2</sup> Phosphatized epoxy prepared from EPON 828 (a polyglycidyl ether of Bisphenol A, available from Shell Oil and Chemical Co.); reacted with phosphoric acid at a weight ratio of 83:17.

10 An addition of 7% based on total resin solids of the additive of Example A was made to the silver basecoat by reducing the Acrylic Latex of Example





### Example 14

#### Preparation of Silver Basecoat with 15% on resin solids of the Polyether Carbamate Additive of Example A

Aqueous Portion	
Component	Parts by Weight (in grams)
Shell Odorless Mineral Spirits <sup>1</sup>	6.00
Acrylic Latex of Example B	103.85
Deionized water	50.00
Acrylic Grind Vehicle of Example C	23.10
50% solution of Dimethylethanol amine in Water	2.20
Organic Portion	
Component	Parts by Weight (in grams)
N-Butoxypropanol	45.04
CYMEL 303LF	25.00
CYMEL 385	6.25
TINUVIN 1130	1.40
AQUA PASTE 3620-D23	23.50
AQUA PASTE 3700-A23	6.50
Phosphatized Epoxy <sup>2</sup>	0.39
Thickener Portion	
Component	Parts by Weight (in grams)
Deionized water	10.00
50% solution of Dimethylethanol amine in Water	2.50
Acid Functional Polyester of Example D	5.0
Polyoxyalkylene Carbamate Addition	
Component	Parts by Weight (in grams)
Additive of Example A	15.0

5 <sup>1</sup> Available from Shell Oil and Chemical Company.

<sup>2</sup> Phosphatized epoxy prepared from EPON 828 (a polyglycidyl ether of Bisphenol A, available from Shell Oil and Chemical Co.); reacted with phosphoric acid at a weight ratio of 83:17.

An addition of 15% based on total resin solids of the additive of Example A was made to the silver basecoat by reducing the Acrylic Latex of Example B by 15% on resin solids. The additive of Example A was post-added to the coating as described below.

5 The aqueous portion of a silver basecoat was assembled from the components listed above. Each component was added under agitation in the order shown and the mixture was mixed for 10 minutes.

10 In a separate vessel the first four components of the organic portion listed above were combined and then agitated until well dispersed. The next two materials were then added under agitation and mixed until well dispersed. The last material was added under agitation until dispersed.

In a separate container, the thickener portion of the basecoat was prepared by combining under agitation the three materials listed above and mixing for 20 minutes.

15 The organic portion was added slowly to the aqueous portion and agitated for 20 minutes. The pH was adjusted to 8.5-8.7 with a 50% solution of dimethylethanol amine and deionized water. The thickener portion was then added and again the pH was adjusted to 8.5-8.7.

20 The additive of Example A was added before the reduction of the basecoat to spray viscosity.

The paint was allowed to equilibrate for 24 hours before the final pH adjustment was made. The paint was then reduced with deionized water before spraying to a viscosity of 25-27 seconds using a 4 Ford Cup accordance with ASTM D 1200-99.

25

**Comparative Example 15**  
**Preparation of Silver Basecoat with 7% on resin solids of Competitive Additive**

Aqueous Portion	
Component	Parts by Weight (in grams)
Shell Odorless Mineral Spirits <sup>1</sup>	6.00
Acrylic Latex of Example B	123.13
Deionized water	50.00
Acrylic Grind Vehicle of Example C	23.10
50% solution of Dimethylethanol amine in Water	2.20
Organic Portion	
Component	Parts by Weight (in grams)
N-Butoxypropanol	45.04
CYMEL 303LF	25.00
CYMEL 385	6.25
TINUVIN 1130	1.40
AQUA PASTE 3620-D23	23.50
AQUA PASTE 3700-A23	6.50
Phosphatized Epoxy <sup>2</sup>	0.39
Thickener Portion	
Component	Parts by Weight (in grams)
Deionized water	10.00
50% solution of Dimethylethanol amine in Water	2.50
Acid Functional Polyester of Example D	5.00
Competitive Additive Addition	
Component	Parts by Weight (in grams)
K-FLEX XM4306 <sup>3</sup>	7.0

<sup>1</sup> Available from Shell Oil and Chemical Company.

<sup>2</sup> Phosphatized epoxy prepared from EPON 828 (a polyglycidyl ether of Bisphenol A, available from Shell Oil and Chemical Co.); reacted with phosphoric acid at a weight ratio of 83:17.

<sup>3</sup> Available from King Industries

An addition of 7% based on total resin solids of the additive of Example A was made to the silver basecoat by reducing the Acrylic Latex of Example B

by 7% on resin solids. The additive of Example A was post-added to the coating as described below.

The aqueous portion of a silver basecoat was assembled from the components listed above. Each component was added under agitation in the order shown and the mixture was mixed for 10 minutes.

In a separate vessel the first four components of the organic portion listed above were combined and then agitated until well dispersed. The next two materials were then added under agitation and mixed until well dispersed. The last material was added under agitation until dispersed.

In a separate container, the thickener portion of the basecoat was prepared by combining under agitation the three materials listed above and mixing for 20 minutes.

The organic portion was added slowly to the aqueous portion and agitated for 20 minutes. The pH was adjusted to 8.5-8.7 with a 50% solution of dimethylethanol amine and deionized water. The thickener portion was then added and again the pH was adjusted to 8.5-8.7.

The additive of Example A was added before the reduction of the basecoat to spray viscosity.

The paint was allowed to equilibrate for 24 hours before the final pH adjustment was made. The paint was then reduced with deionized water before spraying to a viscosity of 25-27 seconds using a 4 Ford Cup accordance with ASTM D 1200-99.

1003339-13001

**Comparative Example 16**  
**Preparation of Silver Bas coat with 15% on resin solids of Comp titiv Additive**

Aqueous Portion	
Component	Parts by Weight (in grams)
Shell Odorless Mineral Spirits <sup>1</sup>	6.00
Acrylic Latex of Example B	103.85
Deionized water	50.00
Acrylic Grind Vehicle of Example C	23.10
50% solution of Dimethylethanol amine in Water	2.20
Organic Portion	
Component	Parts by Weight (in grams)
N-Butoxypropanol	45.04
CYMEL 303LF	25.00
CYMEL 385	6.25
TINUVIN 1130	1.40
AQUA PASTE 3620-D23	23.50
AQUA PASTE 3700-A23	6.50
Phosphatized Epoxy <sup>2</sup>	0.39
Thickener Portion	
Component	Parts by Weight (in grams)
Deionized water	10.00
50% solution of Dimethylethanol amine in Water	2.50
Acid Functional Polyester of Example D	5.0
Competitive Additive Addition	
Component	Parts by Weight (in grams)
K-FLEX XM4306	15.0

5

<sup>1</sup> Available from Shell Oil and Chemical Company.  
<sup>2</sup> Phosphatized epoxy prepared from EPON 828 (a polyglycidyl ether of Bisphenol A, available from Shell Oil and Chemical Co.); reacted with phosphoric acid at a weight ratio of 83:17.

10

An addition of 15% based on total resin solids of the additive of Example A was made to the silver basecoat by reducing the Acrylic Latex of

Example B by 15% on resin solids. The additive of Example A was post-added to the coating as described below.

5 The aqueous portion of a silver basecoat was assembled from the components listed above. Each component was added under agitation in the order shown and the mixture was mixed for 10 minutes.

In a separate vessel the first four components of the organic portion listed above were combined and then agitated until well dispersed. The next two materials were then added under agitation and mixed until well dispersed. The last material was added under agitation until dispersed.

10 In a separate container, the thickener portion of the basecoat was prepared by combining under agitation the three materials listed above and mixing for 20 minutes.

15 The organic portion was added slowly to the aqueous portion and agitated for 20 minutes. The pH was adjusted to 8.5-8.7 with a 50% solution of dimethylethanol amine and deionized water. The thickener portion was then added and again the pH was adjusted to 8.5-8.7.

The additive K-FLEX XM4306 was added before the reduction of the basecoat to spray viscosity.

20 The paint was allowed to equilibrate for 24 hours before the final pH adjustment was made. The paint was then reduced with deionized water before spraying to a viscosity of 25-27 seconds using a 4 Ford Cup accordance with ASTM D 1200-99.

#### **Preparation of Test Panels Coated with Basecoats of Examples 1 to 16**

25 The basecoats were applied on unpolished B952 P60 pretreated cold rolled steel panels (available from ACT Laboratories, Inc.) which had been electrocoated with ED5000 electrodeposition primer (available from PPG Industries, Inc.) and then coated in one coat with 1177224ER (a primer-surfacer available from PPG Industries, Inc.) using the Sames 402 gun  
30 mounted on the Kohne machine. The resulting basecoat had a dry film build

of 0.5 - 0.7 mils. The basecoats were given a five minute ambient flash and then prebaked under the following various conditions:

5 The white and black basecoats of Examples 1 to 11 were baked 10 minutes at 250°F in order to simulate an overbake condition on a commercial paint line.

The silver basecoats of Examples 12 to 16 each were baked under the following conditions:

1. 10 minutes at 250°F (121°C) prebake; and
2. 30 minutes at 250°F (121°C) followed by application of repair  
10 base coat, and subsequent curing for 5 minute at 200°F (93°C) prebake.

A two component clearcoat, TKU-1050AR (available from PPG Industries, Inc.) was applied to the basecoated panels prepared as described above. The clearcoat was applied in a two-coat application using the same  
15 parameters as was the basecoat. The coated panels were given a ten minute ambient flash period before baking at 30 minutes at 250°F (121°C). The clearcoat film build was 1.8–2.0 mils.

#### **Testing of the White and Black Basecoats (Examples 1 to 11)**

20 Adhesion was tested 24 hours after clearcoating using a razor knife to cut a 6x6 two-millimeter grid through the total paint coating and then taping with black TESA tape. The adhesion was rated using ASTM D 3359-97 which assigns a whole number percentage from 100 (no adhesion loss) to 0 (total adhesion loss). In this case an acceptable adhesion rating was a value  
25 ranging from 80-100. For the 10 minutes at 250°F (121°C) prebake scenario, the adhesion loss was between clearcoat and basecoat.



**Table 1 - Basecoat-Clearcoat Intercoat Adhesion Tests**

Basecoat	Basecoat Color	% (on resin solids) polyether carbamate of Example A	% Clearcoat Adhesion after 24 hours <sup>1</sup>
Comparative Example 1	White	0	53
Example 4	White	10	100
Example 6	White	20	85
Comparative Example 9	Black	0	0
Example 10	Black	10	82
Example 11	Black	20	98

5 Table 1 shows the results of the adhesion testing of the white and black basecoats with varying levels of the polyether carbamate additive of Example A.

Comparative Example 1, which contains no polyether carbamate additive, does not exhibit acceptable clearcoat to basecoat adhesion when the basecoat undergoes an extended dehydration (10 minutes at 250°F (121°C) prebake). The addition of the polyether carbamate additive of Example A at  
10 the 10% and 20% level improves the adhesion to an acceptable level.

Comparative Example 9, which contains no polyether carbamate additive, had very poor clearcoat to basecoat adhesion, the addition of the polyether carbamate of the present invention greatly improved the adhesion at  
15 10% level, at 20% level it improved even further.

**Table 2 - White Aqueous Basecoat Viscosity Stability**

Basecoat	% (on resin solids) polyether carbamate of Example A	Viscosity Change (seconds)
Comparative Example 1	0	27
Example 2	2	18
Example 3	5	16
Example 5	15	6
Example 7	30	6
Example 8	54	-4

5 The data presented in Table 2 shows the effect of increasing levels of  
the polyether carbamate of Example A on the viscosity stability of the white  
basecoat of Example 1. The #4 Ford viscosity of the basecoat compositions  
was measured in accordance with ASTM D1200-99 after which they were  
placed in a 140°F (60°C) hotroom for a period of 16 hours. The basecoats  
were allowed to cool and #4 Ford viscosity was remeasured. At the 15% of  
10 additive level of polyether carbamate, the viscosity increased only 6 seconds,  
where the viscosity of the comparative composition, with no polyether  
carbamate additive, increased 27 seconds. For the purposes of this  
evaluation, a viscosity increase of greater than 15 seconds was considered to  
be unacceptable.

15 **Comparative Testing of the Additive of Example A with a Commercial  
Urethane Diol in the Silver Basecoat**

20 The adhesion properties of the silver basecoats (Examples 12 through  
16) was tested at 1 hour and again at 24 hours after clearcoating. The  
adhesion test used a razor knife to cut a 6x6 two-millimeter grid through the  
total paint coating and then taped with black TESA tape. The adhesion was  
rated using ASTM D 3359-97, which assigns a whole number from 5 (no  
adhesion loss) to 0 (total adhesion loss). In this case an acceptable adhesion  
25 rating was a 5 or a 4. For the 10 minutes at 250°F prebake scenario the

adhesion loss was between clearcoat and basecoat. For the 30 minutes at 250°F (121°C)/5 minutes at 250°F (121°C) prebake the adhesion loss was between the basecoat layers.

Panels were placed into a 100% relative humidity / 100°F (38°C) environment for 240 hours, and tested within 15 minutes of removal for adhesion using the X-scribe method and cellophane tape, according to ASTM D 3359-97. A delamination of less than 2 mm from the scribe line passed the test, and a delamination of greater than 2 mm was considered to be a failure.

**Table 3 - Comparative Testing of the Additive of Example A and K-FLEX XM4306 in Silver Basecoat**

Base Coat Example	Additive	Bake Condition A <sup>1</sup>		Bake Condition B <sup>2</sup>		GM AUTO SPEC <sup>3</sup> Appearance	Humidity X-scribe adhesion
		Crosshatch Adhesion 1 hr after clearcoat	Crosshatch Adhesion 24 hours after clearcoat	Crosshatch Adhesion 1 hr after clearcoat	Crosshatch Adhesion 24 hours after clearcoat		
12*	None	0	0	0	0	65.2	Pass
13	7% rs Additive of Example A	5	5	0	5	70.0	Pass
14	15% rs Additive of Example A	5	5	5	5	63.6	Pass
15*	7% rs K-FLEX XM4306 Competitive Additive	0	0	0	0	62.5	Fail
16*	15% rs K-FLEX XM4306 Competitive Additive	5	5	0	5	58.7	Fail

\* Examples 12, 15, and 16 are Comparative Examples

<sup>1</sup> Basecoat baked 10 minutes at 250°F (121°C). Then clearcoat applied.

<sup>2</sup> Basecoat baked 30 minutes at 250°F (121°C). Then additional coat of basecoat applied and panel baked 5 minutes at 200°F (93°C). Then clearcoat applied.

<sup>3</sup> Higher numbers indicate better appearance.

As is shown in Table 3, Comparative Example 12, the silver basecoat with no additive, does not exhibit acceptable crosshatch adhesion under either basecoat bake condition. Addition of 7% on resin solids of the

polyether carbamate additive of the present invention, Example A, gives excellent crosshatch adhesion under both bake conditions when tested 24 hours after clearcoat application, and under Bake Condition A when tested both 1 hour and 24 hours after clearcoat application. By contrast, a 7% on  
5 resin solids addition of the competitive urethanediol additive, K-FLEX XM4306, fails to show any crosshatch adhesion improvement under either bake condition.

When the additive level of the polyether carbamate additive of the present invention is increased to 15% on resin solids, the crosshatch  
10 adhesion is excellent under both basecoat and both clearcoat conditions. At level of the competitive urethanediol additive, however, the basecoat fails crosshatch adhesion under Bake Condition B when tested one hour after clearcoat application.

A further advantage of the polyether carbamate additive of the present  
15 invention over the competitive urethanediol additive is that addition of the competitive urethanediol additive negatively impacts humidity resistance of the basecoat. As can be seen in Table 3, addition of the competitive urethanediol additive at either the 7% on resin solids or 15% on resin solids levels causes the basecoat to fail X-scribe adhesion after humidity. By  
20 contrast, the polyether carbamate additive of the present invention does not adversely affect the humidity resistance of the basecoat at either the 7% or the 15% on resin solids level.

Also, as can be seen in Table 3 the appearance of the basecoats with the additive of the present invention was better than that of the basecoats with  
25 the competitive urethanediol additive.

It will be appreciated by those in the art that changes could be made to the embodiments described above without departing from the broad inventive concept thereof. It is understood, therefore, that this invention is not limited to the particular embodiments disclosed, but it is intended to cover modifications  
30 which are within the spirit and scope of the invention, as defined by the appended claims.